

# **Introduction to HIV Drug Resistance**

Kevin L. Ard, MD, MPH

Massachusetts General Hospital

Harvard Medical School

# Objectives

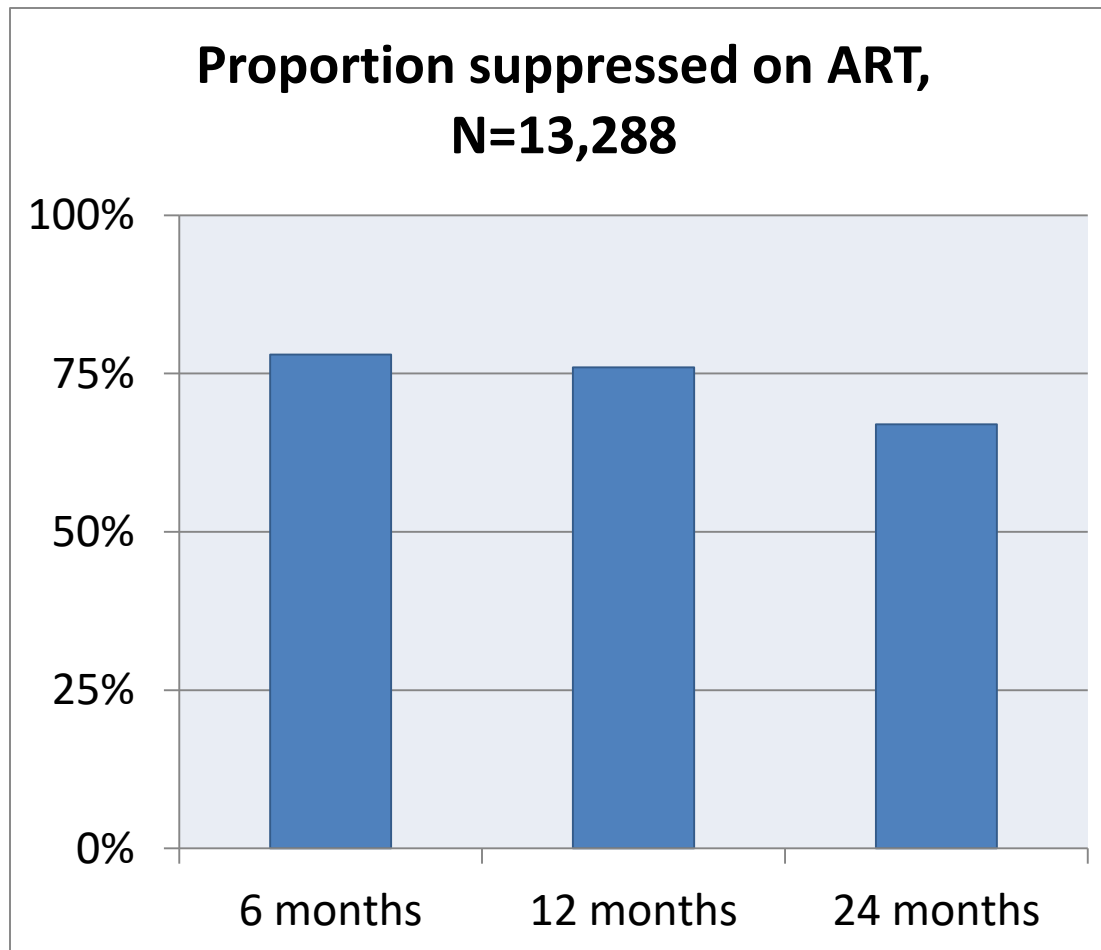
1. Describe the epidemiology of HIV drug resistance in sub-Saharan Africa.
2. Summarize important principles in the management of patients with drug resistance.
3. Review resistance assays and features of NRTI, NNRTI, PI, and INSTI resistance.
4. Discuss common approaches to the management of patients failing antiretroviral therapy with resistance.

**I have used a resistance test to select ART for a patient failing treatment.**

A. Yes

B. No

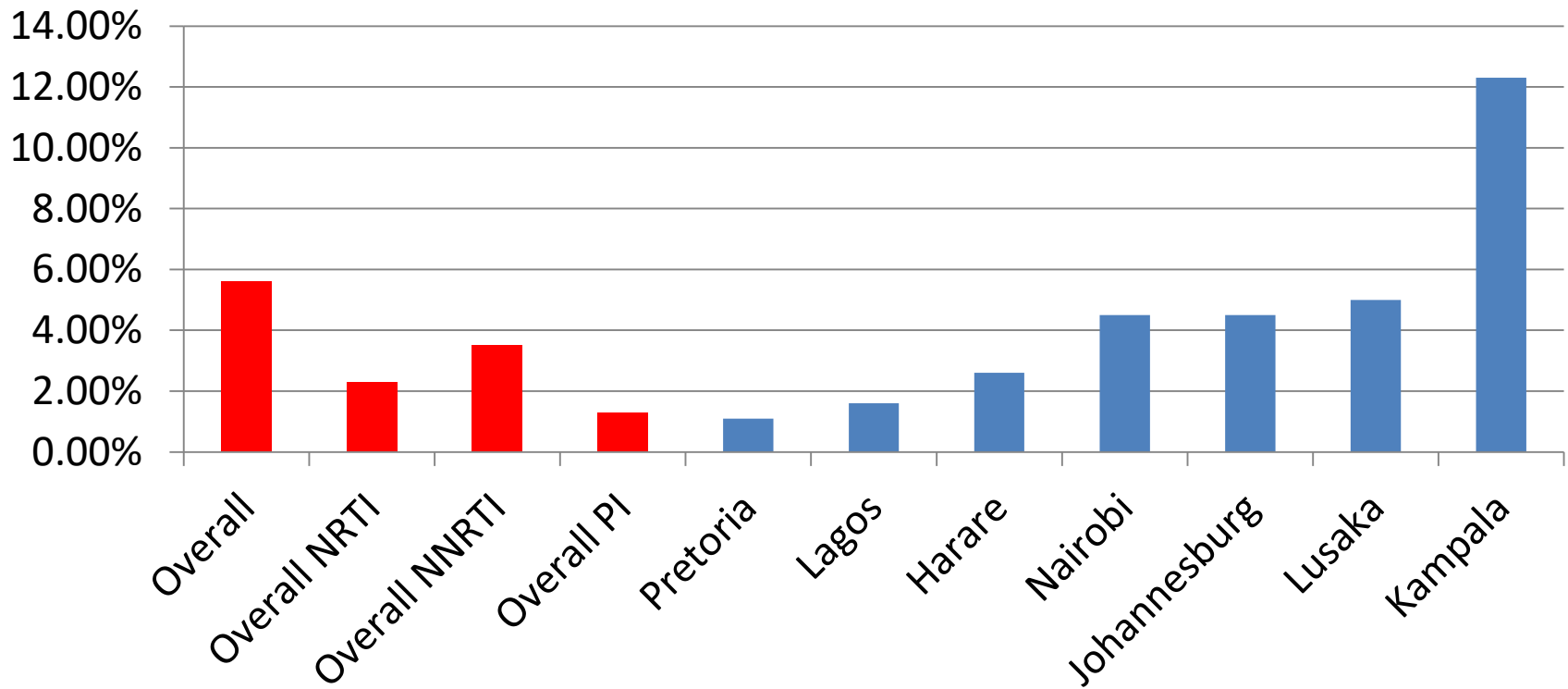
# 67% of people remain suppressed after 24 months of ART.



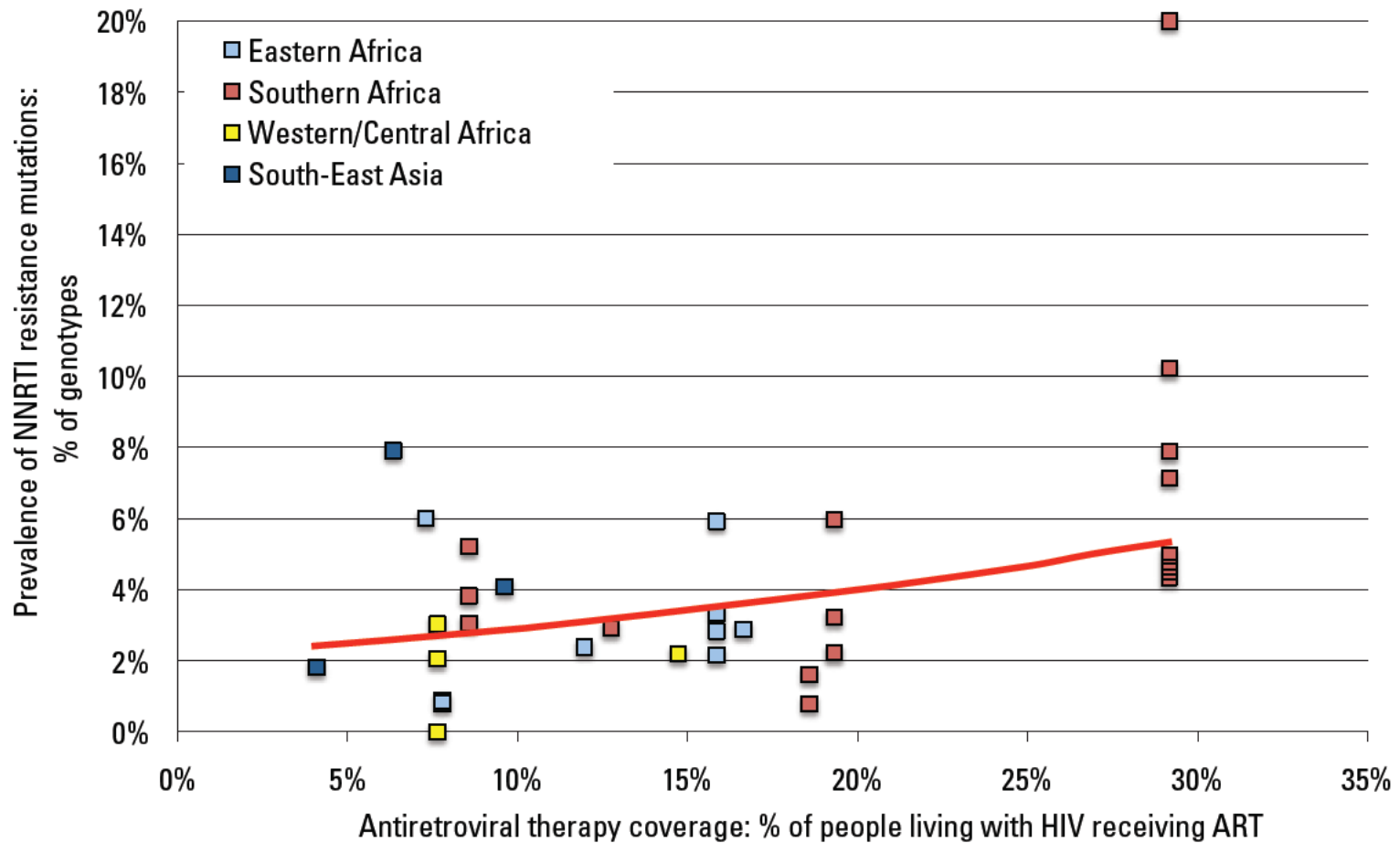
# **Pre-treatment resistance**

# The frequency of pre-treatment drug resistance varies across SSA.

Proportion of patients with pre-treatment drug resistance, N=2436

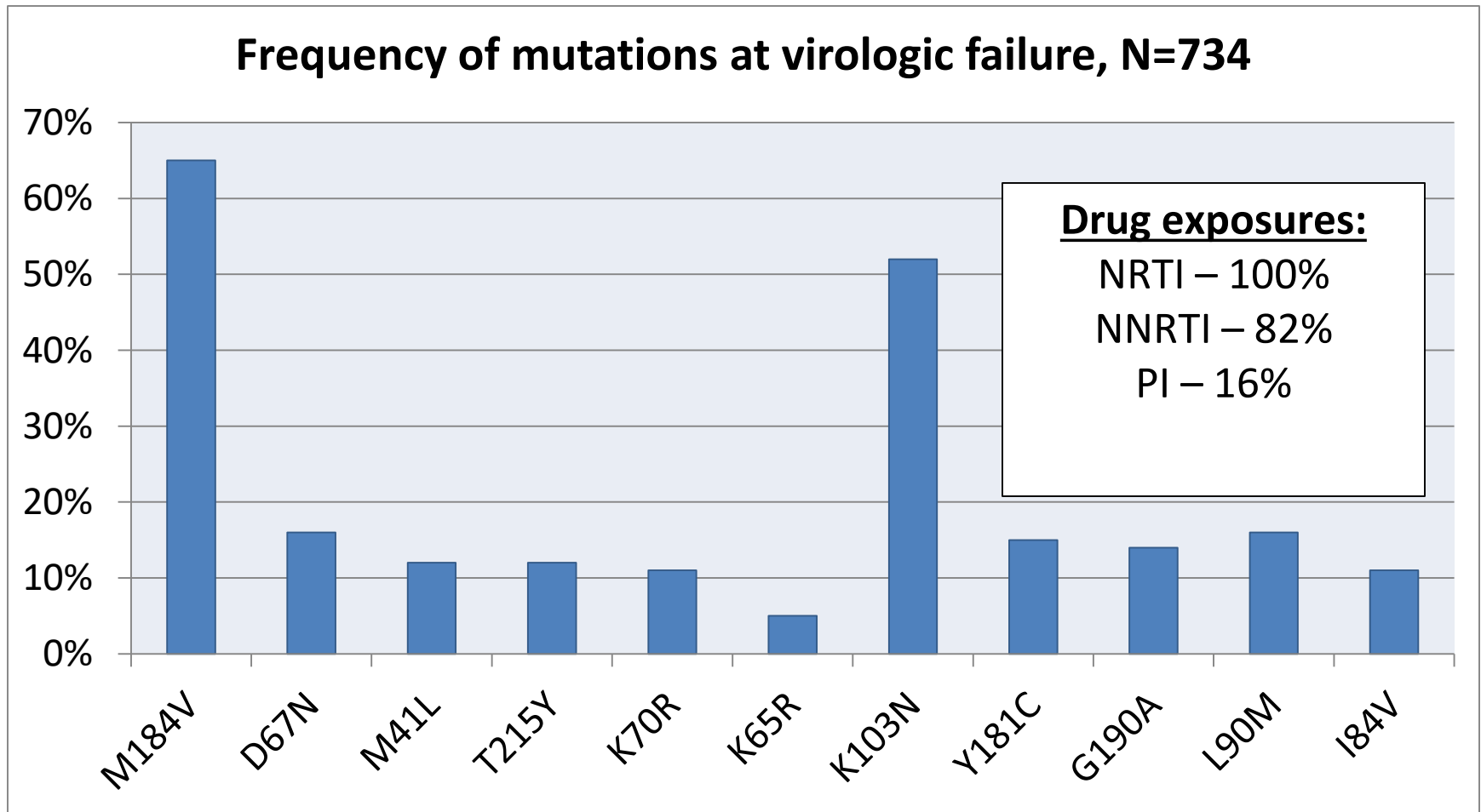


# Pre-treatment resistance increases modestly with ART roll-out.



**Resistance upon virologic failure**

# A majority of those with virologic failure have drug resistance.

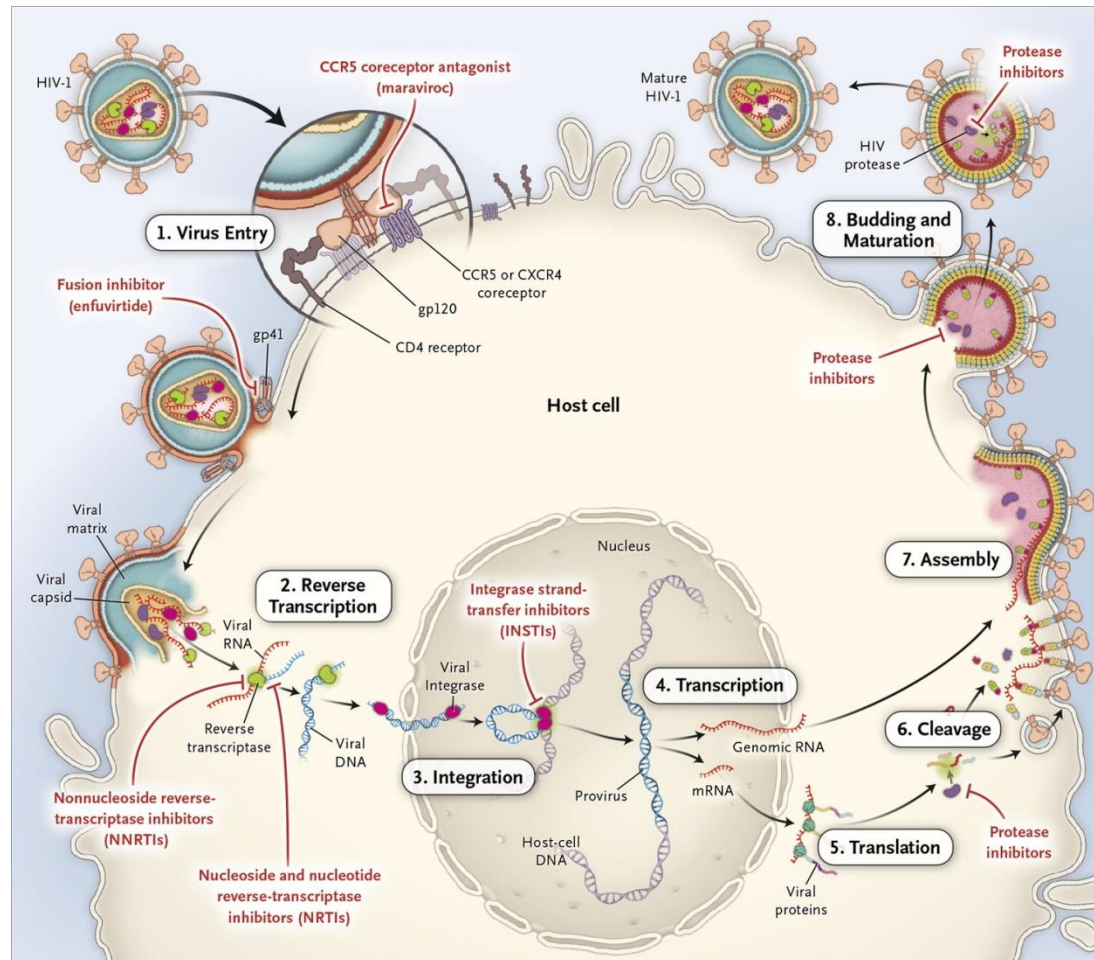


# Resistance basics

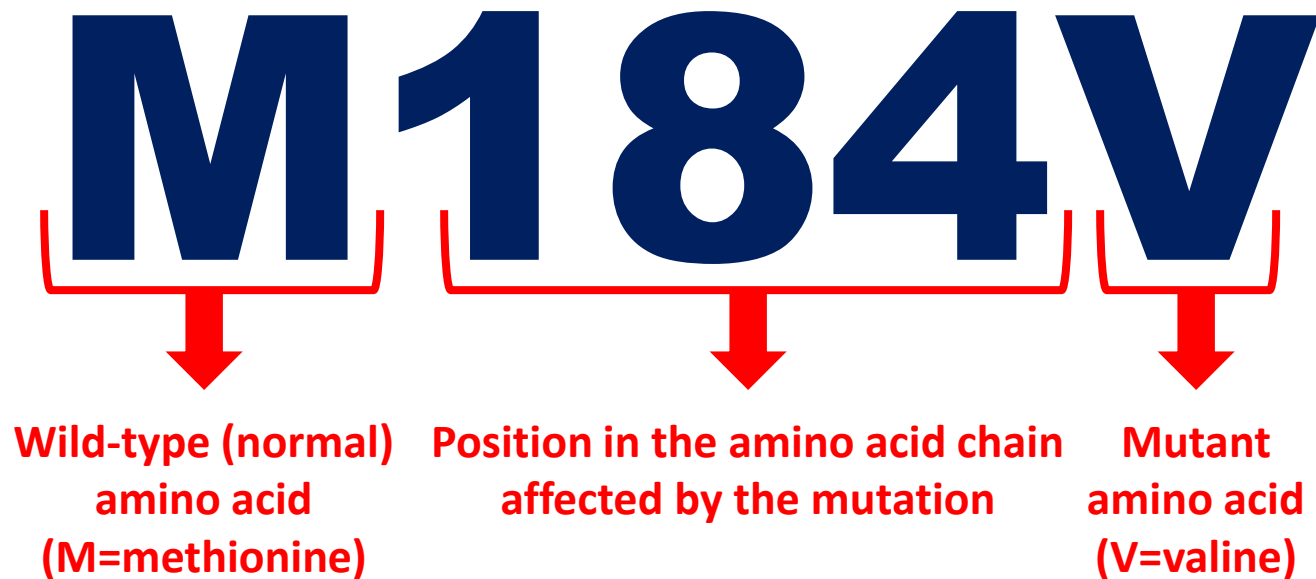
# HIV is a mutation-prone virus.

- HIV has a high intrinsic mutation rate.
- In an untreated patient, there are multiple viral populations.
- Drug-resistant variants can emerge when:
  - Antiretroviral (ART) adherence is poor
  - Drug-drug or drug-food interactions hamper ART effectiveness
  - ART is insufficiently potent
  - A person contracts a resistance virus (transmitted resistance)

# Mutations consist of changes in the amino acid chain of ART targets.



# Mutations are named with a number and two letters.



**Note:** M184V,I or M184V/I indicates substitution of either V or I for M.

# Resistance testing

# Which is true about genotypic resistance testing?

- A. The viral load must be at least  $\sim 1000$  for the test to be performed.
- B. The test can detect viral populations that make up 1% of the sample.
- C. Genotypic resistance testing measures resistance directly.
- D. A patient should stop a failing ART regimen before resistance testing is performed.

# Genotypic resistance testing

- Genotypic resistance testing is most common.
- It involves sequencing HIV genes to detect resistance-causing mutations.
- It requires HIV RNA of  $> 500$ - $1000$  copies/mL and detects species constituting  $> 20\%$  of the sample.
- Results are reported as amino acid changes (e.g., M184V) with an accompanying interpretation (e.g., “high-level resistance”).

# HIV Drug Resistance Genotyping Report:

|                    |                                       |
|--------------------|---------------------------------------|
| Method             | In-house assay                        |
| Reason for testing | 2nd line failure                      |
| Result             | HIV-1 resistance mutation(s) detected |

## Drug Resistance Interpretation: PR

|                               |      |      |      |      |
|-------------------------------|------|------|------|------|
| PI Major resistance mutations | M46L | I50V | I54V | V82A |
| PI Minor resistance mutations | L23I | A71V |      |      |

## Protease Inhibitors:

|                         |                       |
|-------------------------|-----------------------|
| Atazanavir/r (ATV/r)    | High-level resistance |
| Darunavir/r (DRV/r)     | Low-level resistance  |
| Fosamprenavir/r (FPV/r) | High-level resistance |
| Indinavir/r (IDV/r)     | High-level resistance |
| Lopinavir/r (LPV/r)     | High-level resistance |
| Nelfinavir/r (NFV)      | High-level resistance |
| Saquinavir/r (SQV/r)    | High-level resistance |
| Tipranavir/r (TPV/r)    | Low-level resistance  |

## Drug Resistance Interpretation: RT

|                            |       |       |       |
|----------------------------|-------|-------|-------|
| NRTI resistance mutations  | M41L  | M184V | T215F |
| NNRTI resistance mutations | K101H | K103N | G190A |

## Nucleoside RTI:

|                  |                         |
|------------------|-------------------------|
| Lamivudine (3TC) | High-level resistance   |
| Abacavir (ABC)   | Intermediate resistance |
| Zidovudine (AZT) | High-level resistance   |
| Stavudine (D4T)  | High-level resistance   |

# Phenotypic resistance testing

- Assesses the IC50 (concentration of ART required to inhibit 50% of viral growth).
- May not detect minority variants amounting to less than 20% of the sample.
- Is more expensive and has a longer turnaround time than genotypic assays.
- May be helpful when many mutations are present.
- Generates a fold change comparing the IC50 of a patient's virus with a reference virus.

PI

| DRUG          |               |  | PHENOSENSE® SUSCEPTIBILITY |   |  |             | Evidence of Susceptibility |           | NET ASSESSMENT |  |
|---------------|---------------|--|----------------------------|---|--|-------------|----------------------------|-----------|----------------|--|
| Generic Name  | Brand Name    | Cutoffs (Lower - Upper)  | Fold Change                | ← Increasing Drug Susceptibility Decreasing → |  | Pheno Sense | Gene Seq                   |           |                |  |
| Atazanavir    | Reyataz       | (2.2)  | 11                         |   |  | N           | N                          | Resistant | 1              |  |
|               | Reyataz / r†  | (5.2)  | 11                         |   |  | N           | N                          | Resistant | 1              |  |
| Darunavir     | Prezista / r† | (10 - 90)  | 5.89                       |   |  | Y           | N                          | Sensitive |                |  |
| Fosamprenavir | Lexiva / r†   | (4 - 11)   | 14                         |   |  | N           | N                          | Resistant |                |  |
| Indinavir     | Crixivan / r† | (10)   | 2.20                       |   |  | Y           | N                          | Resistant | 1              |  |
| Lopinavir     | Kaletra       | (9 - 55)   | 7.43                       |   |  | Y           | N                          | Sensitive |                |  |
| Nelfinavir    | Viracept      | (3.6)  | 4.77                       |   |  | N           | N                          | Resistant | 1              |  |
| Ritonavir     | Norvir        | (2.5)  | 15                         |   |  | N           | N                          | Resistant | 1              |  |
| Saquinavir    | Invirase / r† | (2.3 - 12)   | 1.77                       |   |  | Y           | N                          | Resistant | 1              |  |
| Tipranavir    | Aptivus / r†  | (2 - 8)  | 1.56                       |   |  | Y           | N                          | Sensitive |                |  |
| PI Mutations  |               | L10F, V32I, L33F, M36M/L, M46M/L, I62I/V, L63C/S/T, A71V, I84V |                            |   |  |             |                            |           |                |  |

# **Key resistance concepts**

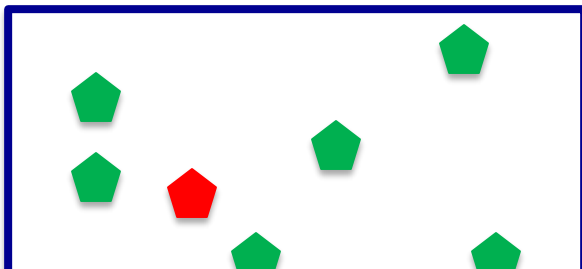
# Some resistance mutations reduce viral fitness.

- M184V confers resistance to lamivudine and emtricitabine.
- This mutation also reduces viral fitness.

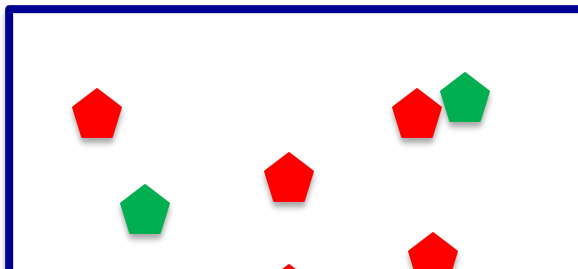
Maintaining lamivudine or emtricitabine in the ART regimens of patients with M184V helps lower viral load despite resistance.

# Resistance mutations can be “archived.”

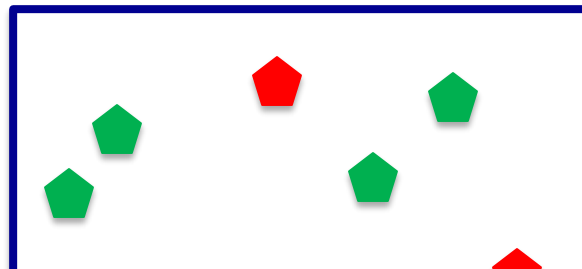
No ART



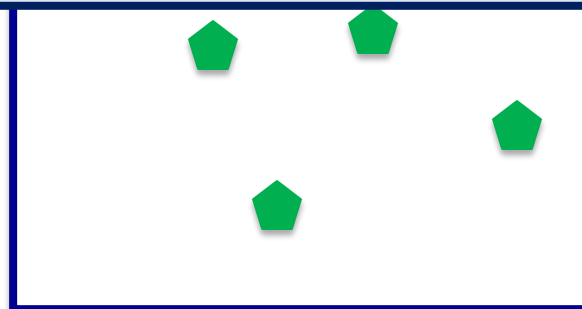
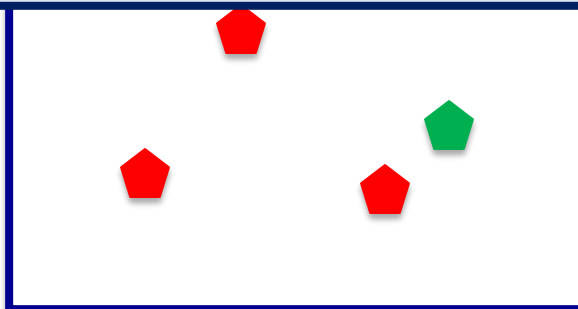
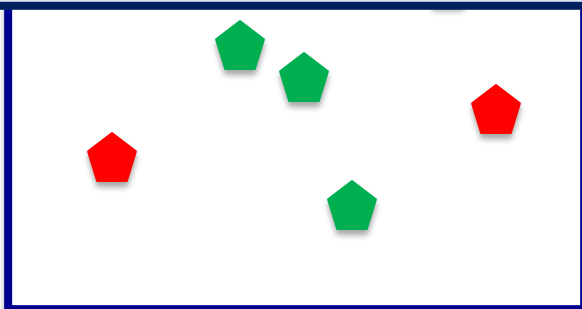
Non-suppressive ART



After cessation of ART



Perform resistance testing while a patient is taking a failing ART regimen or within 4 weeks of cessation of that regimen.



 = wild-type virus

 = drug-resistant virus

# Mutations can have multiple, disparate effects on drug activity.

- **Cross resistance:** A mutation may confer resistance to more than one drug.
  - Example: K103N causes resistance to both efavirenz and nevirapine.

Selection of ART for a patient with drug resistance relies upon knowledge of the effects (cross resistance or hyper-susceptibility) of each mutation on the patient's resistance report.

tenofovir.

# **Resistance by drug class**

# Stanford drug resistance database (hivdb.stanford.edu)

## HIVdb Program

Genotypic Resistance Interpretation Algorithm

HIVdb version 8.1.1 (last updated 2016-09-23)

HIVdb accepts user-submitted protease, RT, and integrase sequences or mutations and returns inferred levels of resistance to the most commonly used protease, nucleoside, non-nucleoside, and integrase inhibitors. Its purpose is educational and as such it provides extensive comments and a highly transparent scoring system that is hyperlinked to data in the HIV Drug Resistance Database. A detailed description of the program as well as all updates is in the [Release Notes](#). A [Webservice](#) has been created to allow users to access HIVdb programmatically.

Protease, RT, and integrase mutations can be entered using either the text box or auto-suggestion boxes. To use the text box, type each mutation separated by one or more spaces. The consensus wildtype and separating commas are optional. If there is a mixture of more than one amino acid at a position, write both amino acids (an intervening slash is optional). Insertions should be indicated by "Insertion" and deletions by "Deletion".

Input mutations    Input sequences

### Reverse Transcriptase

Input mutation(s)

Select mutations:

|     |     |     |     |
|-----|-----|-----|-----|
| 40  | 41  | 44  | 62  |
| --- | --- | --- | --- |
| 65  | 67  | 69  | 70  |
| --- | --- | --- | --- |
| 74  | 75  | 77  | 90  |
| --- | --- | --- | --- |

### Protease

Input mutation(s)

Select mutations:

|     |     |     |     |
|-----|-----|-----|-----|
| 10  | 11  | 13  | 20  |
| --- | --- | --- | --- |
| 23  | 24  | 30  | 32  |
| --- | --- | --- | --- |
| 33  | 35  | 36  | 43  |
| --- | --- | --- | --- |

### Integrase

Input mutation(s)

Select mutations:

|     |     |     |     |
|-----|-----|-----|-----|
| 51  | 66  | 74  | 92  |
| --- | --- | --- | --- |
| 95  | 97  | 114 | 121 |
| --- | --- | --- | --- |
| 128 | 138 | 140 | 143 |
| --- | --- | --- | --- |

# Stanford drug resistance database ([hivdb.stanford.edu](http://hivdb.stanford.edu))

## Drug Resistance Interpretation: RT

|                             |       |
|-----------------------------|-------|
| NRTI Resistance Mutations:  | None  |
| NNRTI Resistance Mutations: | K103N |
| Other Mutations:            | None  |

### Nucleoside Reverse Transcriptase Inhibitors

|                            |             |
|----------------------------|-------------|
| <b>abacavir (ABC)</b>      | Susceptible |
| <b>zidovudine (AZT)</b>    | Susceptible |
| <b>stavudine (D4T)</b>     | Susceptible |
| <b>didanosine (DDI)</b>    | Susceptible |
| <b>emtricitabine (FTC)</b> | Susceptible |
| <b>lamivudine (3TC)</b>    | Susceptible |
| <b>tenofovir (TDF)</b>     | Susceptible |

### Non-nucleoside Reverse Transcriptase Inhibitors

|                          |                       |
|--------------------------|-----------------------|
| <b>efavirenz (EFV)</b>   | High-Level Resistance |
| <b>etravirine (ETR)</b>  | Susceptible           |
| <b>nevirapine (NVP)</b>  | High-Level Resistance |
| <b>rilpivirine (RPV)</b> | Susceptible           |

# IAS-USA drug resistance mutations list (iasusa.org)

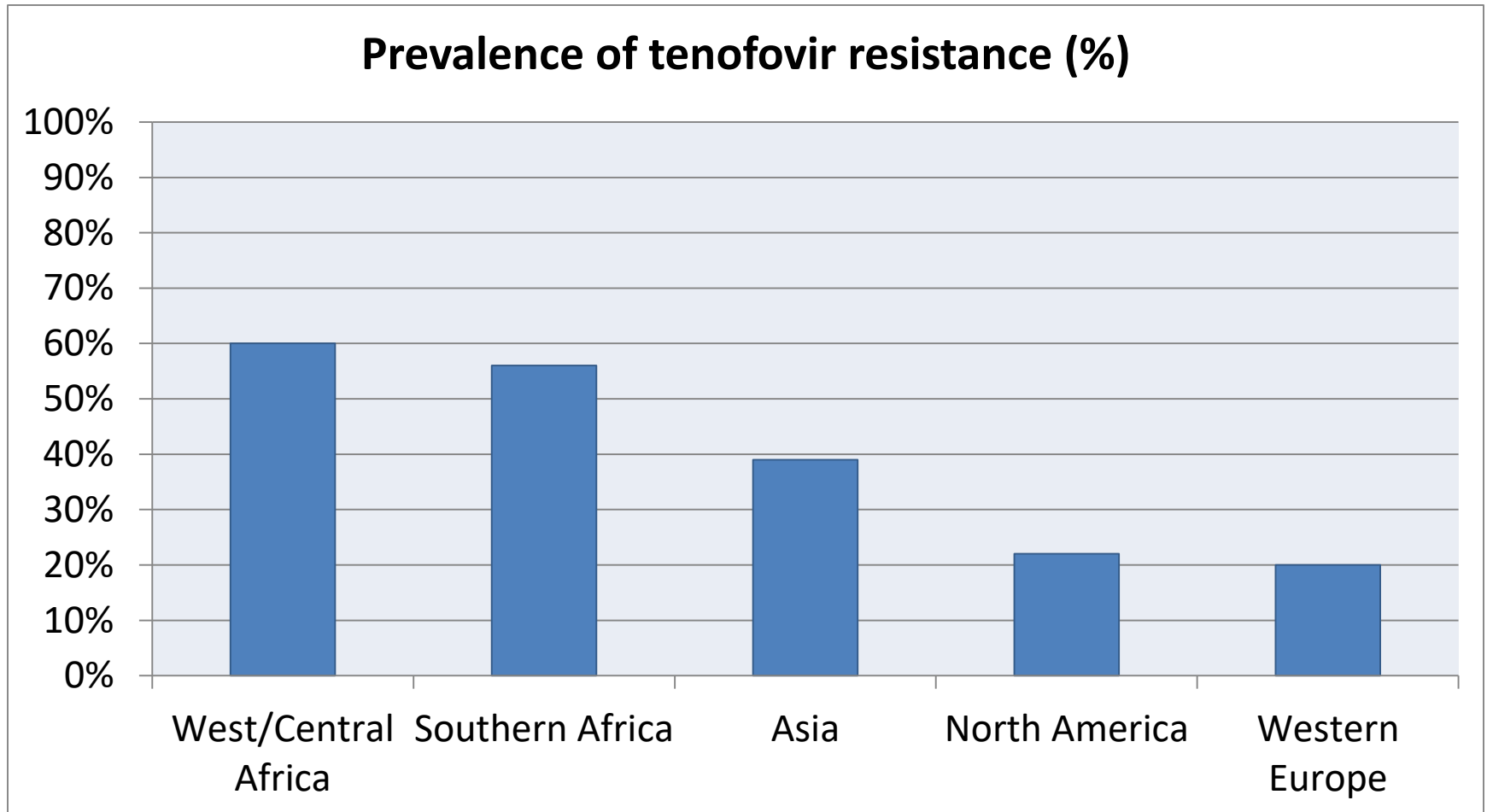
## MUTATIONS IN THE PROTEASE GENE ASSOCIATED WITH RESISTANCE TO PROTEASE INHIBITORS<sup>p,q,r</sup>

|  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |
|--|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|----|
| Atazanavir<br>+/- ritonavir <sup>s</sup> | L  | G  | K  | L  | V  | L  | E  | M  | M  | G  | I  | F  | I  | D  | I  | I  | A  | G  | V  | I  | I  | N  | L  | I  |
|  | 10 | 16 | 20 | 24 | 32 | 33 | 34 | 36 | 46 | 48 | 50 | 53 | 54 | 60 | 62 | 64 | 71 | 73 | 82 | 84 | 85 | 88 | 90 | 93 |
|  | I  | E  | R  | I  | I  | I  | Q  | I  | I  | V  | L  | L  | L  | E  | V  | L  | V  | C  | A  | V  | V  | S  | M  | L  |
|  | F  | M  |    |    | F  |    |    | L  | L  |    | Y  | V  |    |    |    | M  | I  | S  | T  |    |    |    |    | M  |
| V  | I  |    |    | V  |    |    | V  |    |    |    |    | M  |    |    | V  | T  | T  | F  |    |    |    |    |    |    |
| C  | T  |    |    |    |    |    |    |    |    |    |    | T  |    |    |    | L  | A  | I  |    |    |    |    |    |    |
|  |    | V  |    |    |    |    |    |    |    |    |    | A  |    |    |    |    |    |    |    |    |    |    |    |    |
| Darunavir/<br>ritonavir <sup>t</sup>     | V  |    |    |    | V  | L  |    |    | I  |    | I  | I  |    |    |    |    |    | T  | L  |    |    |    | L  |    |
|  | 11 |    |    |    | 32 | 33 |    |    | 47 |    | 50 | 54 |    |    |    |    |    | 74 | 76 | 84 |    |    | 89 |    |
|  | I  |    |    |    | I  | F  |    |    | V  |    | V  | M  |    |    |    |    |    | P  | V  | V  |    |    | V  |    |
|  |    |    |    |    |    |    |    |    |    |    |    | L  |    |    |    |    |    |    |    |    |    |    |    |    |
| Fosamprenavir/<br>ritonavir              | L  |    |    |    | V  |    |    |    | M  | I  | I  | I  |    |    |    |    | G  | L  | V  | I  |    |    | L  |    |
|  | 10 |    |    |    | 32 |    |    |    | 46 | 47 | 50 | 54 |    |    |    |    | 73 | 76 | 82 | 84 |    |    | 90 |    |
|  | F  |    |    |    | I  |    |    |    | I  | V  | V  | L  |    |    |    |    | S  | V  | A  | V  |    |    | M  |    |
|  | I  |    |    |    |    |    |    |    | L  |    |    | V  |    |    |    |    |    |    | F  |    |    |    |    |    |
| R  |    |    |    |    |    |    |    |    |    |    | M  |    |    |    |    |    |    | S  |    |    |    |    |    |    |
| V  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    | T  |    |    |    |    |    |    |
| Indinavir/<br>ritonavir <sup>u</sup>     | L  | K  | L  | V  | M  |    |    |    | M  |    | I  |    |    |    |    | A  | G  | L  | V  | V  | I  |    | L  |    |
|  | 10 | 20 | 24 | 32 | 36 |    |    |    | 46 |    | 54 |    |    |    |    | 71 | 73 | 76 | 77 | 82 | 84 |    | 90 |    |
|  | I  | M  | I  | I  | I  |    |    |    | I  |    | V  |    |    |    |    | V  | S  | V  | I  | A  | V  |    | M  |    |
|  | R  | R  |    |    |    |    |    |    | L  |    |    |    |    |    |    | T  | A  |    |    | F  |    |    |    |    |
| V  |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    |    | T  |    |    |    |    |    |
| Lopinavir/<br>ritonavir <sup>v</sup>     | L  | K  | L  | V  | L  |    |    |    | M  | I  | I  | F  | I  | L  |    | A  | G  | L  | V  | I  |    |    | L  |    |
|  | 10 | 20 | 24 | 32 | 33 |    |    |    | 46 | 47 | 50 | 53 | 54 | 63 |    | 71 | 73 | 76 | 77 | 82 | 84 |    | 90 |    |
|  | F  | M  | I  | I  | F  |    |    |    | I  | V  | V  | L  | V  | P  |    | V  | S  | V  | A  | V  |    |    | M  |    |
|  | I  | R  |    |    |    |    |    |    | L  | A  |    |    | L  |    |    | T  |    |    | F  |    |    |    |    |    |
| R  |    |    |    |    |    |    |    |    |    |    |    | A  |    |    |    |    |    | T  |    |    |    |    |    |    |
| V  |    |    |    |    |    |    |    |    |    |    |    | M  |    |    |    |    |    | S  |    |    |    |    |    |    |

# NRTI resistance

- Common upon failure of first-line NRTI + NNRTI treatment.
- Many NRTI mutations reduce viral fitness.
- Common mutations:
  - **M184V/I**: Resistance to 3TC and FTC
  - **K65R**: Resistance to tenofovir and abacavir

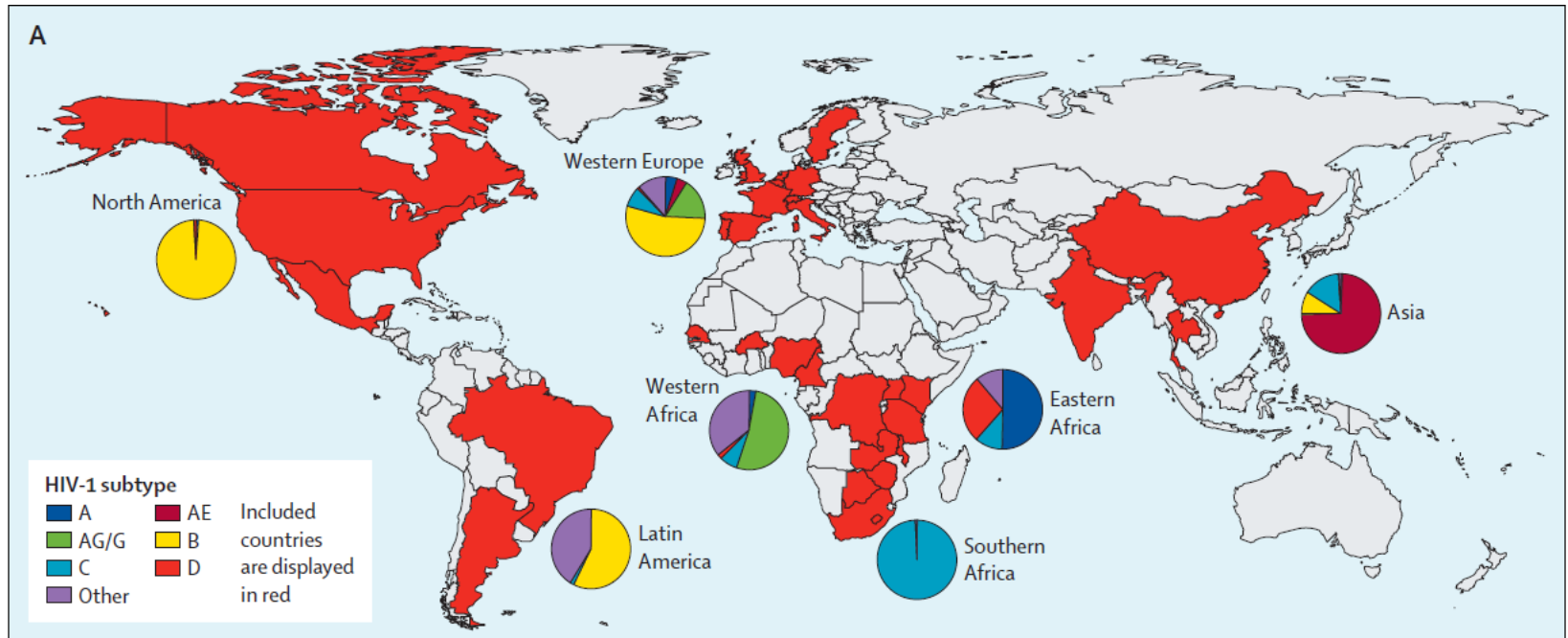
# Tenofovir resistance is more common in Africa than elsewhere.



# Factors associated with tenofovir resistance at virologic failure

| FACTOR                              | OR (95% CI) FOR TENOFOVIR RESISTANCE |
|-------------------------------------|--------------------------------------|
| CD4 cell count < 100 (versus > 100) | 1.5 (1.27-1.77)                      |
| Lamivudine (versus emtricitabine)   | 1.48 (1.2-1.82)                      |
| Nevirapine (versus efavirenz)       | 1.46 (1.28-1.67)                     |
| Subtype C (versus non-C, non-B)     | 2.44 (1.66-3.59)                     |

# Global distribution of HIV subtypes



# NRTI resistance

- Common upon failure of first-line NRTI + NNRTI treatment.
- Many NRTI mutations reduce viral fitness.
- Common mutations:
  - **M184V/I**: Resistance to 3TC and FTC
  - **K65R**: Resistance to tenofovir and abacavir
  - **L74V/I**: Resistance to abacavir
  - **TAMs**: Impact all NRTIs; the more TAMs there are, the worse the resistance tends to be

## Pathway 1 TAMs

Drug Resistance Interpretation: RT

|                             |                    |
|-----------------------------|--------------------|
| NRTI Resistance Mutations:  | M41L, L210W, T215Y |
| NNRTI Resistance Mutations: | None               |
| Other Mutations:            | None               |

### Nucleoside Reverse Transcriptase Inhibitors

|                            |                         |
|----------------------------|-------------------------|
| <b>abacavir (ABC)</b>      | Intermediate Resistance |
| <b>zidovudine (AZT)</b>    | High-Level Resistance   |
| <b>stavudine (D4T)</b>     | High-Level Resistance   |
| <b>didanosine (DDI)</b>    | High-Level Resistance   |
| <b>emtricitabine (FTC)</b> | Low-Level Resistance    |
| <b>lamivudine (3TC)</b>    | Low-Level Resistance    |
| <b>tenofovir (TDF)</b>     | Intermediate Resistance |

## Pathway 2 TAMs

Drug Resistance Interpretation: RT

|                             |                   |
|-----------------------------|-------------------|
| NRTI Resistance Mutations:  | D67N, K70R, K219Q |
| NNRTI Resistance Mutations: | None              |
| Other Mutations:            | None              |

### Nucleoside Reverse Transcriptase Inhibitors

|                            |                                |
|----------------------------|--------------------------------|
| <b>abacavir (ABC)</b>      | Low-Level Resistance           |
| <b>zidovudine (AZT)</b>    | High-Level Resistance          |
| <b>stavudine (D4T)</b>     | Intermediate Resistance        |
| <b>didanosine (DDI)</b>    | Intermediate Resistance        |
| <b>emtricitabine (FTC)</b> | Potential Low-Level Resistance |
| <b>lamivudine (3TC)</b>    | Potential Low-Level Resistance |
| <b>tenofovir (TDF)</b>     | Low-Level Resistance           |

# NNRTI resistance

- Common upon failure of first-line NRTI + NNRTI treatment
- Common mutations:
  - **K103N**: Resistance to efavirenz and nevirapine
  - **Y181C**: Resistance to all available NNRTIs

# PI resistance

- Multiple mutations are often required to significantly reduce PI/r activity.
- Mutations are classified as major or minor.
- Darunavir may remain active in the setting of resistance to other PIs.

# INSTI resistance

- More common with RAL and EVG than DTG.
- DTG is active against some viruses that harbor RAL/EVG resistance.
- Common mutations:
  - **N155H**: Resistance to RAL and EVG
  - **Y143C/R**: Resistance to RAL
  - **Q148H/K/R**: Resistance to RAL and EVG; also affects DTG when other mutations are present

# **Management of patients with drug resistance**

# General principles

1. Avoid adding a single agent to a failing ART regimen (i.e., “virtual monotherapy”).
2. Create a regimen with at least 2, and preferably 3, fully active drugs.
3. Change regimens as soon as possible to avoid the accumulation of resistance mutations.
4. Check for drug-drug interactions within the new regimen.

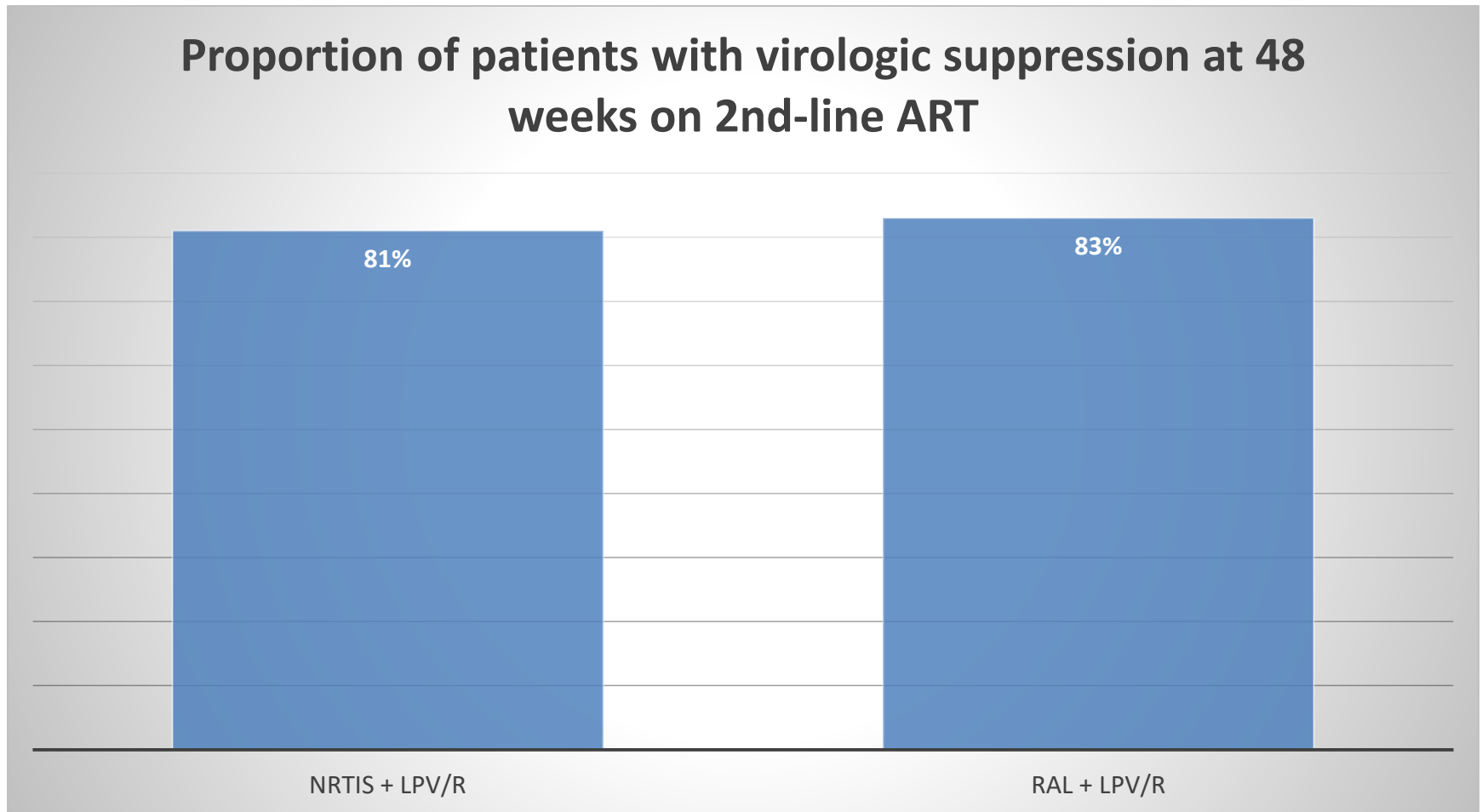
# Case : Failure of 1st-line ART

- A 35 year-old woman has been taking TDF, 3TC, and EFV for 1.5 years.
- Her viral load is 12,000.
- A resistance report shows:
  - NRTI mutations: K65R, M184V
  - NNRTI mutations: K103N

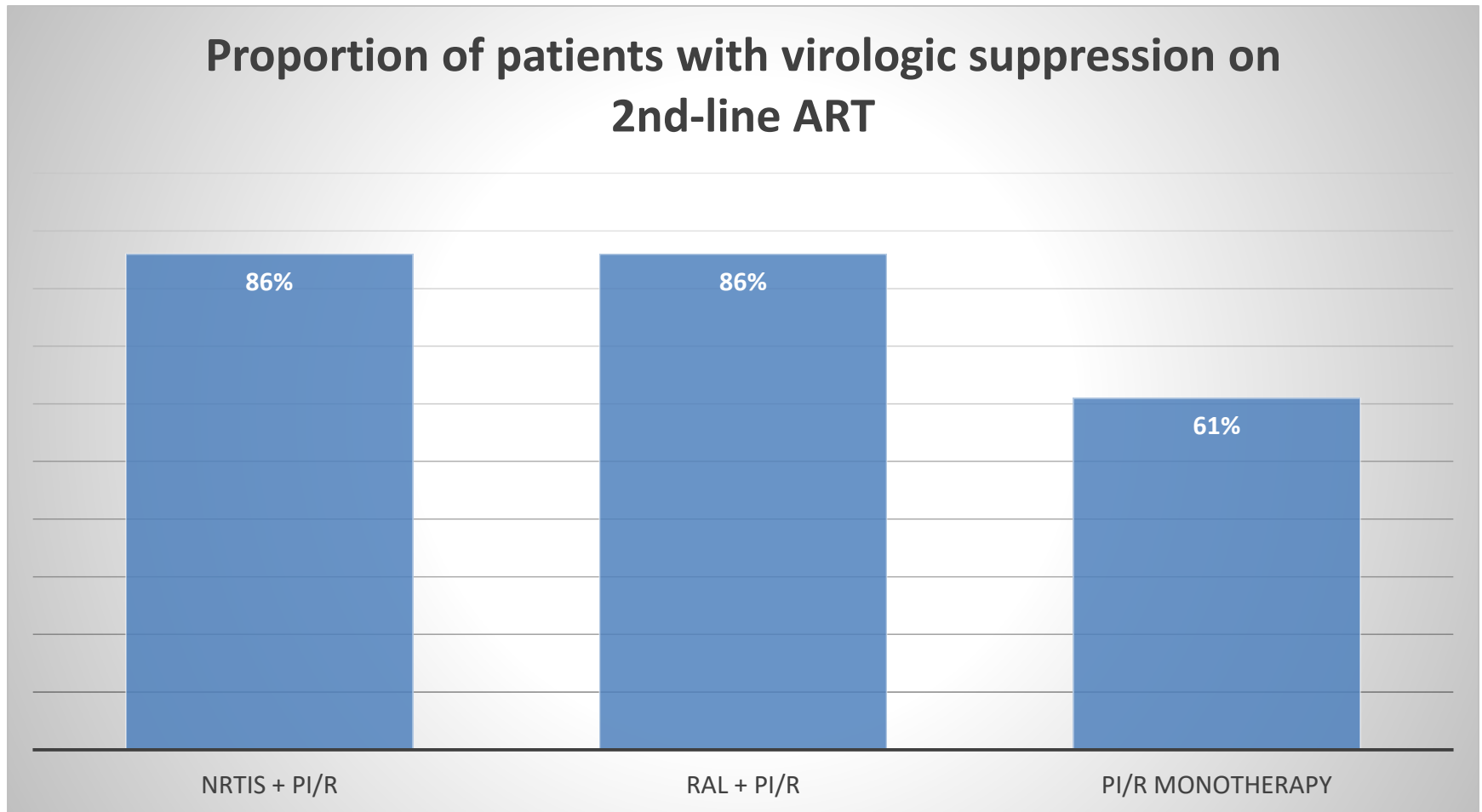
# What is the best second-line regimen for her?

- A. AZT, 3TC, LPV/r
- B. AZT, 3TC, RAL
- C. TDF, 3TC, LPV/r
- D. TDF, 3TC, RAL

# PI/r-based ART constitutes potent second-line therapy.



# Additional evidence for PI/r-based second-line therapy.



# Treatment of the patient with multi-class resistance may involve:

- Darunavir/ritonavir
- Raltegravir or dolutegravir
- NRTIs
- Etravirine
- Maraviroc

1. Arasteh K, Yeni P, Pozniak A, et al. Efficacy and safety of darunavir/ritonavir in treatment-experienced HIV type-1 patients in the POWER 1, 2, and 3 trials at week 96. *Antivir Ther.* 2009;14(6):859.
2. Steigbigel RT, Cooper DA, Kumar PN, et al. Raltegravir with optimized background therapy for resistant HIV-1 infection. *N Engl J Med.* 2008;359:339.
3. Castagna A, Maggiolo F, Penco G, et al. Dolutegravir in antiretroviral-experienced patients with raltegravir and/or elvitegravir-resistant HIV-1: 24 week results of the phase III Viking-3 study. *J Infect Dis.* 2014;210(3):354.
4. Tashima KT, Smeaton LM, Fichtenbaum CJ, et al. HIV salvage therapy does not require nucleotide reverse transcriptase inhibitors: a randomized, controlled trial. *Ann Intern Med.* 2015;163(12):908.
5. Katlama C, Haubrich R, Lalezari J, et al. Efficacy and safety of etravirine in treatment-experienced, HIV-1 patients: pooled 48-week analysis of two randomized, controlled trials. *AIDS.* 2009;23(17):2289.

# Take-home points

- Drug resistance is common upon failure of first-line ART.
- Genotypic resistance testing aids in ART selection for individuals with resistant virus.
- Resistance mutations can have multiple effects, including reducing viral fitness and increasing susceptibility to other ART drugs.
- Most patients with NRTI and NNRTI resistance can be treated effectively with NRTIs and a PI/r.